Disjoint Reference and Core Grammar Robert Freidin (Brown U.) and Howard Lasnik (U. of Connecticut)

- I. Disjoint reference and WH-trace:
 - A. Crossover cases:
 - 1. PIC: (a) Who did he say $\left[\frac{1}{5} e \text{ had won}\right]$
 - (b) Who $\begin{bmatrix} s \\ s \end{bmatrix}$ e said $\begin{bmatrix} \overline{s} \\ \overline{s} \end{bmatrix}$ that he had won $\begin{bmatrix} s \\ \overline{s} \end{bmatrix}$ (c) $\begin{bmatrix} \overline{s} \\ \overline{s} \end{bmatrix}$ did he $\begin{bmatrix} s \\ 1 \end{bmatrix}$ say $\begin{bmatrix} \overline{s} \\ \overline{s} \end{bmatrix} \begin{bmatrix} s \\ s \end{bmatrix} \begin{bmatrix} s \\ (2, \{1\}) \end{bmatrix}$ had won $\begin{bmatrix} s \\ \overline{s} \end{bmatrix}$
 - (d) $\begin{bmatrix} & \text{He}_{1} \\ & \text{said} \begin{bmatrix} \overline{s} & \text{that} \begin{bmatrix} & \text{John}_{2,\{1\}} \\ & & \text{said} \end{bmatrix} \end{bmatrix}$
 - (e) $\left[\frac{1}{5}$ Who₁ $\left[s = (1) \text{ said } \left[\frac{1}{5} \text{ that } \left[s = he(2, \{f\}) \text{ had won } \right]\right]\right]$
 - 2. SSC: (a) Who did he say $\left[\frac{1}{5}\right]$ that Mary liked e
 - (b) Who $\begin{bmatrix} s \\ s \end{bmatrix}$ e said $\begin{bmatrix} -s \\ s \end{bmatrix}$ that Mary liked him $\begin{bmatrix} -s \\ s \end{bmatrix}$
 - B. COMP-to-NP movement violations:
 - 1. PIC: (a) *Who decided had left?
 - (b) $\left[\frac{1}{\overline{S}} \underset{\underline{M}}{\text{Mno}} \left[\frac{1}{S} \underbrace{e_1}_{\underline{N}} \frac{\text{decided}}{1} \left[\frac{1}{\overline{S}} \underbrace{e_1}_{\underline{N}} \underbrace{e_1}_{\underline{N}} \underbrace{e_1}_{\underline{N}} \right] \right]$ III *II I
 - (c) $\left[\frac{1}{5} \text{ Who}_{1} \left[s e_{(1)} \text{ decided} \left[\frac{1}{5} e_{1} \left[s e_{(1,\{1\})} \text{ had left}\right]\right]\right]$

2. SSC: (a) *Who decided (that) Mark liked?

- II. Indexing:
 - 1. a WH-trace functions like a non-anaphoric (lexical) NP: it., is assigned an Anaphoric index.
 - 2. a WH-trace functions like a non-pronominal NP: its Anaphoric index is not subject to reindexing via the SSC or the PIC.
 - a WH-phrase in COMP (or a WH-trace in COMP) does not assign an Anaphoric index; otherwise,

a. Who left?
$$\longrightarrow$$
 b. $\left[\frac{1}{5} \text{ who}_{1} \left[s e_{(1,\{1\})} \text{ left}\right]\right]$

- 4. Anaphoric indices are assigned after WH-interpretation.
 - a. Whose books did he read?
 - b. (which person x) $\begin{bmatrix} s & he_{(1)} & read \end{bmatrix}$ c. $He_{(1)} & read \begin{bmatrix} s & he_{(1)} & read \end{bmatrix}$ books $\end{bmatrix}$

III. Opacity (SSC + PIC):

- 1. the domain of Opacity is S, not \overline{S} .
 - a. *the men $\left[\frac{1}{5} \text{ who}_{i} \left[s \text{ each other}_{i} \text{ like } e_{i} \right] \right]$
 - b. Opacity Condition: an anaphor cannot be free in the domain ofi) a tensed auxiliary (PIC), or ii) a subject (SSC).
 - c. *Himself left. / *John thought that himself had won.
 - d. *It frightened each other. / *They expect me to like each other.
- 2. The COMP escape hatch:
 - a. *[... $\left[\frac{1}{S} \left[_{COMP} NP_{i}\right] \left[_{S_{\alpha}} \cdots e_{i} \cdots e_{i}\right] \cdots \right]$

where S_{α} is tensed or e_i is not the subject of S_{α} .

- b. *[.'.. $\left[\frac{1}{5} \left[_{\text{COMP}} NP_{i}\right] ... \left[\frac{1}{5} \left[_{\text{COMP}} e_{i}\right] \left[_{5} e_{i} \text{ to } VP\right]\right]\right] ... \right]$
- IV. The NIC, Subjacency, and the Strict Cycle:
 - 1. NIC: a nominative anaphor may not be free in \overline{S} (OB:(103))
 - 2. a. *[\$\overline{s}\$ who₁ [\$\overline{s}\$ did John know [\$\overline{s}\$ what₂ [\$\$\$ e₁ saw e₂]]]] (* by NIC)
 b. *[\$\overline{s}\$ what₂ [\$\$\$ did John know [\$\overline{s}\$ who₁ [\$\$\$\$\$\$\$\$\$ e₁ saw e₂]]]] (* by SSC)
 3. *[that e] cannot be reduced to the NIC.
 - 4. Subjacency is a condition on representations, not movement. a. $\left[\frac{1}{5} \text{ who}_{1} \left[s \text{ did John know} \left[\frac{1}{5} \text{ what}_{2} \left[s e_{1} \text{ saw } e_{2}\right]\right]\right]$

b.
$$\left[\frac{1}{5} \text{ who}_{1} \left[\frac{1}{5} \text{ did John know} \left[\frac{1}{5} \text{ what}_{2} \left[\frac{1}{5} e_{1} \text{ saw } e_{2}\right]\right]\right]$$

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